

AWARD REGULATION

Article 1

Scope

The *Imprensa Nacional-Casa da Moeda*, S.A. (Portuguese Mint and Official Printing Office, INCM) promotes a competition of Ideas named IN3+Award, hereinafter abbreviated as "Award", in the scope of different partnerships within its collaborative innovation network, with a view to the development and promotion of Research and Innovation (R&I) activities.

Article 2

Object

- 1. The award aims to select and reward ideas that enable the creation of innovative solutions, through the development of R & I projects that fit INCM's business vision and mission and that may integrate activities associated with the R & I areas identified in Article 3.
- 2. However, ideas whose evaluation process is already underway in the scope of the collaborative relationship between INCM and its network of external partners (universities, laboratories, technological and research centres, start-ups, among others) Innovation Network cannot be considered.

Article 3

R & I Areas

The IN3+ Award is intended to reward ideas that integrate innovative solutions in the following areas:

- a. Identification;
- b. Authentication;
- c. Technological Government;



- d. Currency;
- e. Sustainability and Internal Efficiency;

Applicants

- 1. The prize is awarded by means of a competition that shall be open to all researchers from entities that are part of the INCM Innovation Network, under the terms set out in the respective protocol.
- 2. All persons engaged in R & I activities for the above-mentioned entities are deemed to be researchers as long as they have been duly recognised by them.
- 3. Applicants can be majors of age, of any nationality, whether or not being residents in Portugal.
- 4. Applications may be submitted jointly by natural persons of legal age, regardless of their activity, without any legal form of association between them.
- 5. Applications may be submitted in association, consortium or other formal mode of partnership with business entities not belonging to INCM's Innovation Network.
- 6. In order to take part in the IN3+Award, applicants must expressly accept the terms and conditions set forth in this Regulation.

Article 5

Selection Board

- 1. The IN3+ Award Selection Board is composed of the following persons:
 - a. Manuel Heitor, President of the Selection Board
 - b. Maria Manuel Leitão Marques
 - c. Vítor Constâncio
 - d. Isabel Furtado
 - e. Alcides Gama
 - f. Sílvia Garcia.
- 2. The term of office of each member of the selection board shall not exceed 2 years, with the exception of any member(s) representing INCM.



Relevant stages

- 1. The IN3+ Award comprehends the following steps:
 - a. Stage 1 Reception of ideas;
 - b. Stage 2 Evaluation and selection of ideas by the selection board;
 - c. Stage 3 Disclosure of the winning idea(s);
 - d. Stage 4 Technical-economic evaluation of the R&I project among winning ideas.
- 2. The calendar for steps identified above will be published on the website (https://premioin3mais.pt), as well as on other channels for information on the award.

Article 7

Reception of ideas applying for the award

- Ideas will be submitted electronically, by filling in the specific form available on the award website (https://premioin3mais.pt).
- 2. All documents submitting ideas must be written in Portuguese or in English.

Article 8

Evaluation and selection of ideas applying for the award

- 1. The proposed ideas shall be examined by the selection board, taking into account the evaluation criteria set out in the Annex to this Regulation.
- 2. The selection board may consult the lead investigator or the sponsoring team of the application whenever it requires additional information for a correct decision-making.
- 3. Where necessary, INCM shall appoint a technical team to support the selection board decision with a more specialised analysis.
- 4. The selection board assessment is intended to select the three best ideas that will rank in first, second and third places.
- 5. There will be no room for the classification of other ideas or for the dissemination of the deliberation on them by the selection board.



- 6. The selection board may decide not to classify one or more ideas if it finds that the levels of quality and/or suitability for the purposes and nature of this initiative have not been achieved.
- 7. If no ideas for the award are selected, the 3rd and 4th steps indicated in Article 6 will not apply.
- 8. Members of the selection board and, if that is the case, of a technical team must keep as confidential any applications received.
- 9. The decision of the selection board shall be taken by unanimity or simple majority, its President having voting quality.
- 10. Decisions taken by the selection board are not open to appeal.

Dissemination of winning ideas

- 1. INCM reserves the right to advertise and announce winning ideas on its official digital platforms and on the media.
- 2. The dissemination of winning ideas and their classification will take place in a public session on a date and venue to be disclosed by INCM.

Article 10

Technical-economic assessment of the R&I project of winning ideas

- 1. The promoters of winning ideas are invited to draw up a project plan that aims to implement them, detailing the aspects presented at the idea stage and including the budget planned for their implementation.
- 2. In the event of a positive assessment of the project plan by INCM, the latter will contract with the promoters the implementation of the project, informing them on its decision within a maximum period of one year.
- 3. In the event of a negative assessment of the project plan by INCM, it shall inform the representatives of the successful application on the decision not to contract the implementation of the project.



4. In any of the cases referred to in the preceding paragraphs, the promoters may not hold INCM financially liable for any steps undertaken, regardless of whether or not they have been requested by INCM.

Article 11

Allocation and amount of the awards

- 1. The amount of the awards, indicated in the following paragraph, shall only be allocated to the relevant winners if INCM contracts the development of the respective projects in accordance with Article 10.
- 2. The award amounts are as follows:
 - a. 1st Place funding of the R&I project necessary for the development of the idea up to an amount of EUR 600.000;
 - b. 2nd Place funding of the R&I project necessary for the development of the idea up to an amount of EUR 250.000;
 - c. 3rd Place funding of the R&I project necessary for the development of the idea up to an amount of EUR 150.000.
- 3. Applications for winning ideas will receive 2 % of the above, regardless of the assessment mentioned in Article 10.
- 4. The amount referred to in the preceding paragraph will be deposited in its entirety to the bank account indicated by the person responsible for the application.

Article 12

Copyright

- Applicants are responsible for the originality of ideas presented. They guarantee their authorship and assume any and all responsibilities arising from any third party claims regarding intellectual property.
- 2. Intellectual property rights that may result from winning ideas to be developed by INCM and by tenderers will be shared under a specific agreement.



Additional information

Any additional information regarding the award provided for in this Regulation may be requested in writing to INCM via the award website (https://premioin3mais.pt) or by other means of communication provided in the meantime.

Article 14

Protection of personal data

- 1. Personal data collected under the IN3+Award will be processed by INCM, as the entity responsible for processing personal data.
- 2. Data collected pursuant to Article 7 of this Regulation are intended for the registration of applications, processing of awards, including public disclosure of the winning authors, and for contacts in future similar initiatives. They will be maintained as long as necessary for the pursuit of the purposes described and for compliance with legal obligations.
- Applicants are guaranteed the exercise of the rights of access, rectification, opposition to processing, portability, deletion and limitation to the processing of their personal data, under the terms and within the limitations provided for by law, by using email address dpo@incm.pt.
- 4. The application for the award implies the consent of applicants to the processing of personal data. Under the law, applicants have the right to withdraw their consent at any time, which does not invalidate, however, the processing carried out by that date on the basis of the consent previously given by taking part in this competition. The withdrawal of consent for the processing of personal data will entail the exclusion of the participation of the applicant for the award.
- Applicants have the right to obtain from the data controller the erasure of their personal data where these are no longer necessary for the purpose justifying their collection or processing.



Exclusion of applications

Applications will not be accepted:

- a) When submitted by means other than those referred to in Article 7;
- b) Whose form is not fully completed in accordance with the instructions contained therein:
- c) When not complying with the requirements set out in this Regulation.

Article 16

Final provision

- 1. All cases omitted in this Regulation will be decided by the INCM, Portuguese Mint and Official Printing Office.
- 2. Any dispute arising from the implementation of this Regulation will be submitted to the territorial jurisdiction of the competent court of the Lisbon Judicial District.



ANNEX

Criteria for the Evaluation of Competing Ideas

The evaluation of competing ideas will meet the following criteria:

Criterion A — Creativity and innovation.

The following aspects shall be taken into account:

- (i) Originality of the idea;
- (ii) Differentiation considering the target market;
- (iii) Progress considering the state of the art.

Criterion B — Potential of identified opportunity.

The following aspects shall be taken into account:

- (i) Potential size of the market:
- (ii) Existing competition;
- (iii) Presence of barriers to new players;
- (iv) Potential for growth and integration in the value chain;
- (v) Potential for internationalisation:
- (vi) Potential for the affirmation of the INCM brand.